

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am an original, first, and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled SPOOL VALVE FOR FLUID CONTROL, the specification of which is attached hereto unless the following box is checked:

☐ was filed on _____ as United States Application Number _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

☐
☐

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name): Vernon E. Gleasman

Inventor's signature: Vernon E. Gleasman

Date:

1/21/99

Residence: 11 Pondview Drive, Pittsford, New York, 14534

Citizenship: US

Post Office Address: 11 Pondview Drive, Pittsford, New York, 14534

Full name of second inventor (given name, family name): Warren R. Alexander

Inventor's signature: Warren R. Alexander

Date:

1/22/99

Residence: Four Harrison Circle, Pittsford, New York, 14534

Citizenship: US

Post Office Address: Four Harrison Circle, Pittsford, New York, 14534

Full name of third inventor (given name, family name): _____

Inventor's signature: _____

Date: _____

Residence: _____

Citizenship: _____

Post Office Address: _____

☐ Additional inventors are named on separately numbered sheets attached hereto.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):	Vernon E. Gleasman and Warren R. Alexander	
APPLN. NO:	Not Yet Assigned	
FILED:	Concurrently Herewith	
TITLE:	SPOOL VALVE FOR FLUID CONTROL	

POWER OF ATTORNEY

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

TORVEC, Inc., 11 Pondview Drive, Pittsford, New York, 14534, a New York corporation, the assignee of the entire right, title, and interest in the above-identified U.S. patent application by virtue of the accompanying original assignment, which is submitted herewith for recordation,

hereby revokes all Powers of Attorney previously given and appoints the following attorneys/agents to prosecute and transact all business in the Patent and Trademark Office connected therewith:

Morton A. Polster, Reg. No. 20,960
Eugene S. Stephens, Reg. No. 20,649
Thomas B. Ryan, Reg. No. 31,659
David E. Henn, Reg. No. 37,546

Please send correspondence to Morton A. Polster, at Eugene Stephens & Associates, 56 Windsor Street, Rochester, New York, 14605, phone (716) 232-7700.

Respectfully submitted,
TORVEC, Inc.

By: Keith E. Gleasman
Keith E. Gleasman
President

Dated: 1-21-99

Applicant or Patentee: Vernon E. Gleasman and Warren R. Alexander
Serial or Patent No.: Not Yet Assigned
Filed or Issued: Concurrently Herewith
Title: SPOOL VALVE FOR FLUID CONTROL

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(F) & 1.27(C)) -- SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: TORVEC, Inc.
ADDRESS OF SMALL BUSINESS CONCERN: 11 Pondview Drive, Pittsford, New York, 14534

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled SPOOL VALVE FOR FLUID CONTROL by inventor(s) Vernon E. Gleasman and Warren R. Alexander described in

- ☒ the specification filed herewith
☐ application serial no. , filed .
☐ patent no. , issued .

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Keith E. Gleasman
TITLE OF PERSON IF OTHER THAN OWNER: President
ADDRESS OF PERSON SIGNING: TORVEC, Inc., 11 Pondview Drive, Pittsford, New York, 14534

SIGNATURE Keith E. Gleasman DATE 1-21-99